



Board of Accountancy

Office of Professional Regulation, Vermont Secretary of State

89 Main Street, 3rd Floor • Montpelier, VT 05620-3402
Tel. (802) 828-2373 • www.sec.state.vt.us/professional-regulation.aspx

UnApproved Minutes **Tuesday, November 28, 2017 at 9:00 a.m.**

Board Members Present: Thomas Shortle, CPA, Chair, Joshua Partlow, CPA, Vice-Chair; Steven Love, CPA and Danny Coane, Public Member.

Board Member(s) Absent: John Borch, CPA, Secretary

Administrative Personnel: Gabriel Gilman, General Counsel and Aprille Morrison, Licensing Administrator I

1. Call to Order

- a. Mr. Shortle called the meeting to order at 9:04 am.

2. Changes to the agenda.

- a. Mr. Shortle requested a discussion be added regarding a letter to the Board that Mr. Matthew Pentz dropped off.
- b. Mr. Shortle requested the Board discuss the NASBA Focus questions.

3. Approval of minutes from October 24, 2017 meeting

- a. Mr. Love made a motion to approve the minutes as written. Mr. Coane seconded the motion. Motion passed.

4. Disciplinary Matters

- a. Closing Reports / Enforcement Report
 - i. Case Manager Report by Carla Preston.
 1. Ms. Preston reported to the Board that they currently have twenty-six (26) cases. One (1) is on hold, ten (10) are ready for Investigative Team meeting, two (2) are ready for closing, five (5) are under investigation and eight (8) are pending charges.

5. Correspondence

- a. Mr. Matthew Pentz dropped off a letter to the Board requesting that his experience be considered in lieu of additional education to meet the 150 credit requirement. After review of the Statutes and Rules with general counsel, the Board confirmed that they are not able to grant Mr. Pentz's request. Ms. Morrison will respond to Mr. Pentz.

6. Topics for Discussion

- a. NASBA Focus Questions
 - i. Mr. Shortle reviewed the Focus Questions with members and general counsel and will respond to NASBA prior to the December 18th deadline.

- b. Mr. Love updated members present on his attendance at the Vermont Society of CPA's Path to Licensure meeting. He gave a presentation to the 18 students in attendance and participated in a panel discussion following the presentation.
- c. Mr. Partlow informed members present that the UAA Committee is not moving forward with implementation of the use of CGMA titles changes previously exposed.

7. Administrative Updates

- a. Licensing Platform and Board Member Portal training – Tara Grenier
 - i. Ms. Grenier provided Board members present with their user ID's for the NGLP licensing platform so they may obtain access to the new licensing system. A more formal training will take place at a later Board meeting.
- b. Mr. Gilman updated members present on the status of the Draft Administrative Rules. Currently they are waiting for ICAR signature and approval as there are some indirect Statute changes that may affect the draft. Once the changes are complete the draft will then go to LCAR for approval and implementation.

8. File Review

Christy Day – Exam
Suet Ki Bernice Sun - Exam

Bridget Edwards – Exam

Tzu-Yu Lin – Exam

Mr. Partlow made a motion to approve all applications listed above. Mr. Coane seconded the motion. Motion passed.

9. Adjournment

- a. There being no additional business Mr. Shortle adjourned the meeting at 10:41 am.

Next Scheduled Meeting – Wednesday, January 17, 2018 at 9:00 am
Please check the office [website](#) for updates