

**Vermont Secretary of State
Office of Professional Regulation
BOARD OF ACCOUNTANCY**

Location: Corner of State Main in the City Center. 89 Main Street, 3rd Floor, Montpelier, VT 05602

**Minutes
July 30, 2013**

Members present: Joshua Partlow, Thomas Shortle and Lee M. Spivey **Member Absent:** John Borch and Jennifer Corey
Staff present: Judith Griffen

- 1. Called to order at 9:21 a.m.**
- 2. Approved the Minutes of the June 25, 2013 meeting with corrections.**
- 3. Reports/Follow-up cases**
 - a. Case Managers Report by Carla Preston. There are two open cases at this time. Charges have been filed and they are scheduled for hearing in September.
- 4. Correspondence/Discussion items**
 - a. Peter to update Board on discussion with David Grippin regarding rule changes and renewal forms update was tabled again until Peter is present.
 - b. NASBA Eastern Regional Meeting Update – Tom gave an update on what was discussed at the Eastern Regional Meeting. AICPA’s SME-FRF was a topic at the conference. This will be an ongoing topic of discussion because there seems to be concern from NASBA on the authoritative standards and the confusion around this. Tom feels the Board will need to take a position on the matter for the future but at this time there is just not enough information to make a final decision. The Board decided that any action should wait until NASBA, FAF and the AICPA have worked their Differing View points.
 - c. Judith gave an update on the letter to Candidates regarding purging files over four years old to coincide with the new rule changes. Judith has mailed the letters and has had some responses back. All responses will come to the Board for final review and decision.
 - d. The Board discussed the the following information regarding the newsletter. All outstanding information will be given to Judith to insert into the newsletter for review at the next meeting. The Board is still planning on trying to get the newsletter out in September.
 - Intro from Chair – Josh – Complete
 - Bios for new Members – Tom & Jen - Outstanding
 - Summary of Rule changes – Josh – Complete
 - Transition to 150 – Tom - Outstanding
 - e. Administrative / Board file review process – Discussion of responsibilities was tabled for Chris and Peters attendance.
 - f. The Board discussed reviewing the minutes and decided that after each meeting, once Judith has completed them, they will review the minutes and submit any changes to Judith for final approval at the next meeting.

g. AICPA's SME-FRF – Update and guidance on how to handle questions was discussed under NASBA Eastern Regional Meeting update.

h. Review of Applications and Forms provided at last meeting is tabled until the entire board is present at the next meeting.

5. Hearings/Stipulations/Concluded Investigations – None

6. Licensing – Review the following applications for licensure

Yanting Mo – examination – approved

Michael Noonan – examination – approved

Bradford Moye – examination – approved

Douglas Lee – Endorsement – approved

Geraldine Stewart – reinstatement – approved

Todd Hall – reinstatement – approved

John Banks - reinstatement – approved

Philip Winsor – reinstatement – Needs current CPE

M'Lissa Dayton – reinstatement – approved

Steven Precourt – reinstatement – approved

Sanborn Tuepker Associates PC – firm – approved

Marvin & Company P. C. – Firm – approved

7 Other Board Business

a. Signing of Certificates

8. Adjourned at 11:25 a.m.