

London, Sarah

From: Nealy, Diane
Sent: Monday, January 30, 2012 4:21 PM
To: Roessle, Drusilla
Subject: FW: Request for Information
Attachments: Brock Request for Information v2.doc

Follow Up Flag: Follow up
Flag Status: Flagged

Dru;

I would like to respond to the letter that you forwarded to me from Edward Nuttall regarding the inquiry he made. We have in fact responded to this request in the most complete manner that we were able back in July of 2011. I am forwarding the email and attachment that was sent to Senator Brock with the information that was requested.

Just to give you some history regarding this request here is what we have done:

Back when the request came in, Rob Hofmann was Secretary. We had a meeting to discuss this request because it seemed excessive. Because of this we called Senator Brock and the decision was made with him to send him an AHS Budget book which would provide all of the information that was being asked for.

The following day I sent out the prior year's budget book. I am not sure what happened, but that book never reached the Senator once it got to the State House. When I found out he did not receive that book, I sent him a new book (which had just come out). After some time passed I heard from the Senator that this was not an acceptable response to the request that was made.

At this point, Doug had become Secretary and we had another meeting to decide what to do because once again this was a huge request that seemed almost impossible to meet. Once we went through the list of Programs that the Senator's constituent was referring to (which took us a day itself) we realized that a large part of the list was not actually programs but just links to federal program, websites, etc. So we consolidated the list into actual programs that we could get a budget and staffing numbers for. Once we determined the department that was responsible for each of the programs I sent a request to each of the Business Managers and asked them to provide the number of staff and budget for each of these programs. However, we also told them not to spend excessive amounts of time on this request.

We were eventually able to get all these numbers pulled together and in July of 2011 sent the attached list to Senator Brock.

Senator Brock has insisted all along that he does not want us to create documents or expend a great deal of effort to comply with his request. We have sent him the information that did not have to be created (except that the consolidated list being created by me). We are unclear what more the Senator is looking for us to provide to him. There is no further information that we can provide that exists today. We can of course send him the most recent AHS Budget Book (FY2013), but we do not believe that this will be an acceptable response for him again and will cost approximately \$100 to put together.

Secretary Racine also want to point out to Senator Brock and his constituent that this Administration inherited this "management problem" from Governor Douglas.

If there is more information that you would like from me regarding this matter please let me know.

Regards,

Diane T. Nealy
Secretary's Office
Agency of Human Services
208 Hurricane Lane

Please note my new email address: diane.nealy@state.vt.us

From: Nealy, Diane **On Behalf Of** Racine, Doug
Sent: Monday, July 25, 2011 1:36 PM
To: rbrock@leg.state.vt.us
Subject: Request for Information

Dear Sen. Brock,

Attached is a program by program response to your question. The information was compiled by the Central Office staff plus the business offices of each of the six AHS departments. While no new information was developed, this was a time-consuming project that obviously was not going to get done to anyone's satisfaction during the legislative session with its many demands for budget work.

I hope that this information meets your expectations and the needs of your constituent.

Doug Racine

Many of these entries are policy or program initiatives that do not have discrete budgets or state staff FTE's associated with them. In other words 1 state FTE, as part of their daily responsibilities, may be responsible for overseeing many of the services listed below. Similarly, some of these items are prior authorization or other eligibility processes which do not have discrete budgets but rather describe a process for approval for certain Medicaid categories of service.

Where discrete FTE's are noted below they are state staff and not reflective of the many FTE's working in the private nonprofit provider system funded by grants and contracts to deliver the services listed.

The most accurate and detailed source of AHS expenditures is in the budget book prepared annually for the legislative session. Dollar figures noted below are FY12 estimates.

AHS

Housing and Homeless Assistance: This is a policy initiative that brings together staff from across the agencies to work in a coordinated fashion to address housing issues. There is no discrete FTE associated with the efforts, nor is there a budget.

DAIL

Adult Day: No discrete FTE's – These are grants to community providers who employ staff locally and have many public and private revenue streams to support their work. DAIL funds \$1,968,752 to 14 providers annually.

Adult Protective Services: 12 FTEs and \$854,000 annual appropriation

Assistive Community Care Services: These are supplemental payments made to individuals in residential care. The total estimated in this category of service in DVHA is \$13,561,779.

Assistive Technology Program – 5 FTEs; Total appropriation \$80,000

Attendant Services Program – No discrete FTE, GF = \$2,311,190; GC = \$1,486,456

Autism Specialists – 1 FTE

Blind and Visually Impaired Services –10 FTEs; Total appropriation \$2,433,284 (Admin. and Grants)

Children's Personal Care Services – 2 FTEs; Total appropriation approx \$23M in DVHA's budget

Choices for Care (1115 Long-Term Care Medicaid Waiver) - 16 FTEs; Total appropriation \$208,380,598 SFY '12 in DVHA's budget

Dementia Respite Program – No discrete FTE; Total appropriation \$250,000

Developmental Disability Services - 7 FTEs; Total appropriation \$139,884,478

Flexible Family Funding – No discrete FTE; Total appropriation \$1,103,749

Food and Nutrition Program – No discrete FTE; Nutrition Services Incentive Prog \$753,915 and 3 Squares Vermont \$208,648 GF.

Green Mountain Self-Advocates (GMSA) - No discrete FTE; Total grant \$30,000

Guardianship - 28 FTEs Total appropriation \$2,298,142

High Technology Home Care – No discrete FTE; Prior authorization process for an array of services in the DVH budget for example, skilled, nursing, high tech equipment and durable medical equipment.

Housing and Residential Supports - No discrete FTE; HASS and SASH Total appropriation \$773,192

Mental Health Elder Care Clinician Program (ECCP) - No discrete FTE; Total appropriation \$235,423

Offender Services - Public Safety caseload \$1,923,596

Older Vermonters and Family Caregiver Services (Older Americans Act) - No discrete FTE; Total appropriation \$9,710,281

Senior Community Service Employment Program (SCSEP) - No discrete FTE; Total appropriation \$495,555

Supported Employment-Division of Disability and Aging Services – 1 FTE; Total appropriation \$11,300,000

Supported Employment-Division of Vocational Rehabilitation - 1 FTE; Total appropriation \$2,528,407

Traumatic Brain Injury (TBI)-Division of Disability and Aging Services - 3 FTEs; Total appropriation \$4,744,899

Traumatic Brain Injury Program-Division of Vocational Rehabilitation – ¼ FTE; Total appropriation \$143,719

DCF

Aid to the Aged, Blind, Or Disabled for an Essential Person: No discrete FTEs, eligibility is part of the overall ESD caseload and supplemental payments are made to beneficiary as deemed eligible. Total appropriations \$12,871,654.

Adopting a Waiting Child – No Specific FTEs; no specific appropriation. This is part of Project Family. See below.

Adoption Registry - There are no FTEs; This is part of Project Family. See below.

Baby Safe Haven – this is a state law, there are no FTEs or funds

Business Start Up – Micro Business is \$367,009; Revolving loan fund is \$57,965.

Child Care Subsidy – FTEs associated with this are split between CDD and CCCSA's; \$41,598,819

Child Support – OCS budget and FTEs: \$12,902,118 – 115 FTEs

Community Services – Block Grant was \$3,730,000

Disability Determination Services – \$5,656,106; 38 FTEs – all federal.

Domestic Violence Unit - \$147,488 for 2.5 FTE.

Early Childhood Intervention Services – Now known as Children's Integrated Services within the CDD; Total appropriation \$3,738,991.

Emergency/General Assistance – No discrete FTE, eligibility is determined by ESD as part of overall caseload; Total appropriation \$6,500,000.

Farm To Family - \$68,730

Three Squares Vermont (Cash Out) – No discrete FTE, eligibility is determined by ESD as part of overall caseload; Total appropriation \$23,756,778.

Fuel Assistance (Crisis) - No discrete FTE, eligibility is determined by ESD as part of overall caseload; Total appropriation \$2,950,000.

Fuel Assistance (Seasonal) - No discrete FTE, eligibility is determined by ESD as part of overall caseload; Total appropriation \$11,612,664

Housing Assistance - Emergency/General Assistance – Included in GA/EA numbers above.

Housing Assistance – Shelter and Services – OEO No Discrete FTE; Total appropriation \$1,114,331.

Lifeline – no dollars associated with program and is done as small function of one FTE

Link Up Vermont State Agency of Human Services – no information available.

Partners In Service (PINS) – Contract cancelled – no FTE and no dollars.

Project Family – contract is \$275,791

Reach Up – Staff (66) on ESD payroll as well as case managers in DAIL and VABIR; costs for the latter two are included in total appropriation. Total appropriation \$49,155,572

Reporting Child Abuse – 7 FTEs: \$635,704 for the Centralized Intake Unit.

Savings Assistance – IDA budget is \$135,300.

Vermont Child Abuse and Neglect Registry: Guide for Persons Substantiated for Child Abuse or Neglect and also the Vermont Child Abuse and Neglect Registry: A Guide for Employers; There are no specific FTEs who staff the Child Abuse and Neglect Registry. Initial registry checks are done as part of the job duties of 6-8 FTEs – there is no appropriation. Registry Reviews due to an appeal of a Registry listing are staffed by 2 FTEs and some contractors to do the reviews at a cost of \$374,712.

Vermont Foster Parents: Cannot answer as the intent of the question is unable to be interpreted. However, \$3,324,652 was budgeted in Standard Foster Care for SFY '12.

Weatherization Program 2 FTEs; Total appropriation \$11,945,291 includes the FTEs.

DMH

DMH Admin - \$2.7M, FTE count - 25.5 (includes commissioners, legal, statistics and business)

DMH Adult - \$55.7M, FTE count - 14.7

MH Children - \$72.0M, FTE count - 9

VSH - \$22.6M, FTE count - 214.85

DOC

Community/Restorative Services - 2 FTE; Total appropriation \$1,848,481

DVHA

Vermont Health Access Plan (VHAP) – No discrete FTE; SFY '12 VHAP appropriated = 173,981,067. Total program appropriated = 915,801,851. Total admin appropriated = \$96,191,996 (including \$47,537,564 for HIT / HIE). Total overall appropriation = \$1,011,993,847.

VDH

Baby Bottle Tooth Decay Initiative – This is a best practice initiative carried about by school nurses – No discrete FTE or appropriation

Children With Special Health Needs - Medical and support services to children with chronic or disabling conditions and their families: Specialty Medical Clinics in collaboration with FAHC and DHMC; Care Coordination in collaboration with health care providers and community-based services; Limited Financial Assistance to qualified families; Family Support including Respite Care and referral to resources including Vermont Family Network. 29.5 FTEs; Total appropriation \$5,516,940

HIV Dental Care Assistance Program (DCAP) - Dental insurance program for HIV+ Vermonters who meet the 200% net FPL. 0.1 FTE, Total Appropriation \$50,000

HIV Insurance Continuation Assistance Program (ICAP) - Premium assistance for HIV+ Vermonter's who are in jeopardy of loosing their employee sponsored insurance due to the inability to work full time due to HIV illness. 0.625 FTE; Total Appropriation \$42,000

HIV + Early Intervention Program - Program that pays for the first medical visit of newly (within the past 6 months) diagnosed HIV+ Vermonters to ensure access to medical care. 0.1 FTE; Total appropriation \$3,000

HIV/AIDS Medication Assistance Program - Pays for the insurance premiums, deductibles, co-pays or full cost of medications on the Vermont formulary of HIV+ Vermonter's who meet the 200% net FPL. 1 FTE; Total Appropriation \$1,162,000

Ladies First Program – Ladies First provides health screening by removing financial barriers that prevent many women from being screened for breast and cervical cancer and heart disease. 8.9 FTEs; Total appropriation \$1,016,912

Refugee Health Program - The Refugee Health Program is responsible for ensuring that newly arrived refugees have a domestic health screening, ideally within 30 days of arrival, and that they are enrolled in a medical home. The program also provides assistance to the health care in meeting the unique health issues of new arrivals. 0.625 FTE; Total appropriation \$57,000

Smoking Quit Line - As part of the Vermont Quit Network, the Smoking Quitline provides telephone counseling and Nicotine Replacement Therapy (NRT) to Vermonters who are attempting to quit smoking, and helps them build a "quit plan" in order to be successful. – 0.065 FTE; Total appropriation \$254,245

Substance Abuse Intervention and Treatment - The promotion of a community-based resiliency and recovery oriented system of care and support for screening, brief intervention and treatment services. 15 FTEs; Total appropriation \$25,390,750

Substance Abuse Prevention – The proactive promotion of healthy lifestyles and norms that reduce the risks associated with the use of alcohol, tobacco and other drugs. 10 SAPs in the schools; Total appropriation \$2,731,670

Substance Abuse Trainings – The development and maintenance of a substance abuse and related expertise among the prevention and treatment workforce in the State of Vermont. 0.25 FTE; Total appropriation \$21,760

WIC in Vermont (Nutrition Program) - The Special Supplemental Nutrition Program for Women, Infants and Children provides individualized nutrition counseling, breastfeeding promotion and support, health screening & referral and specific nutrient dense foods to income eligible pregnant, postpartum and breastfeeding women and infants and children who are younger than 5 years old. Federal funds pass through; 7 FTE; Total appropriation \$9,898,753.

Governor Peter Shumlin
109 State Street, Pavilion
Montpelier, VT 05609

Dear Governor:

Since December 2010 I've been working with the two Senators of Franklin County (Brock, Kittell) and one Representative from Fairfax (Gilbert) to obtain the number of personnel the Agency of Human Services supports with funds provided courtesy of the taxpayers of Vermont. It is now almost July 2011 and I still haven't received an answer. I'm having some serious doubts about the management of AHS and why it is so difficult to provide basic information. In the budgeting process one should be able to ask the question of how many people are supported by category of support as listed on the AHS Webpage. Since that information is difficult to obtain one has to wonder what kind of management is in place that allows that information to go unnoticed.

Here's the current AHS answer in reply to an email from Senator Randy Brock:

*"From: Nealy, Diane [mailto:Diane.Nealy@ahs.state.vt.us], Sent: Wednesday, April 13, 2011 9:27 AM
To: rbrock@leg.state.vt.us
Subject: Constituent Inquiry*

Randy;

I wanted to let you know where I stand on the request for information from your constituent. Unfortunately I was out of the office all last week very sick. I am back now and working on this project. I am sorry that the budget book did not answer the questions that your constituent had. This request involves a great deal of work for the agency but we are moving forward on trying to provide it. I have taken the list of programs that the constituent provided from our website and broken it down by department. Many of the things listed are not actually programs, but just links to reports or websites, etc., so I have removed those. The actual programs I have split up into the appropriate department responsible for it. I have now sent the list, by department, to each of the Commissioners. I have asked each of the Commissioners to provide the cost and staffing for each of the programs listed under their departments.

As soon as I receive that information back I will send it to you.

Regards, Diane T. Nealy, Secretary's Office, Agency of Human Services, 103 S. Main Street, Waterbury, VT 05671-0204, 802-241-2244"

Given the latest mismanagement of funds at the local level that is ongoing resulting in loss of monies to taxpayers, I can't help but continue to wonder who's really in charge at AHS and who's looking over their shoulder.

Here's an assessment by Senator Randy Brock:

"Secretary Racine:

As I emphasized in our discussion (and in my original discussion with former Secretary Hofmann), it was not my intent to ask the agency to create any information that is not already compiled. That serves a two-fold purpose: First, to avoid asking an overstretched agency to do additional unbudgeted work and, second, and most important, to assess whether the agency actually knows how much, both in dollars and staff time, is being spent on each program it administers.

To that end, if the agency were to review the programs and services cited in Colonel Nuttall's original request, would it be possible to see what detail already exists in summary form (below the high-level budget) that is responsive to this request? For those separately-identifiable programs that are largely funded by Federal funds, I would assume there is an accounting of how much is spent in Federal and matching state funds, as well as an accounting of costs, including staff, chargeable to each such program. I appreciate the fragmented nature of program service delivery, including the activities of the designated agencies, but again, I would assume, as a minimum, that the requested information should be available for

each discrete program that received Federal pass-through funds, due to the required preparation for the annual A-133 Federal compliance audits.

For example, with Early Childhood Intervention programs, although there may be several individual programs, I would assume that there should be cost and performance data readily available for each such program. Otherwise, how does the agency answer such fundamental questions as what is the cost per child for each program and how do you measure the return on investment? Without such data, how does the agency determine how to allocate its precious resources?

Colonel Nuttall's request simply is to determine how much is spent by the agency on each of the programs it administers, how many people are served by each program and how many state employees are dedicated to each program. If this information is currently unknown, please let me know.

I hope that this sufficiently clarifies and narrows the request so as not to generate excessive work in responding to it. If you have questions or would like to discuss this, feel free to give me a call. My cell is (802) 309-8220.

Regards, Randy Brock, Vermont State Senator, Franklin District

This frustration has been building over the months since my original request. As can be seen below, Senator Brock has taken the lead on this request and clearly identified the perception of a concern that many taxpayers have:

Doug:

Almost a month has passed since my request for information, a copy of which appears below. Almost four months have passed since my original request to the department through your predecessor and his senior staff. My attempt to get this information has included a written request, in person conversations with two AHS secretaries and telephone conversations with agency staff. To date, my constituent, who rightly has grown increasingly angry, has received no response to his inquiries.

It seems incredible that the Agency does not seem to be able to produce a listing of how much it spends on each of its entitlement programs and how many people are involved in delivering them. I would think, as a minimum, that this information would be readily available at least for programs that receive Federal funds.

Can you advise when or if the Agency will respond to this request?

Regards, Randy Brock, Vermont State Senator, Franklin District

Here's a note to Senator Brock which reflects my original concerns:

From: Edward R. Nuttall [<mailto:ednhelga@hughes.net>], Sent: Monday, December 13, 2010 10:01 AM
To: rbrock@leg.state.vt.us, Subject: Human Services

Randy,

Attached is a list of human services to which many deserving people are helped, but I question how the State puts quality control into the services. I wonder about fraud and abuse. So much money is diverted into these services that it's having an impact upon our budget.

It would seem to me someone should be accountable to us and answer that question. Here are the questions I wish for you to answer:

How many dollars does Human Services spend in providing these services?

How many people are we talking about and can that list be summarized by category? If not, why not?

All of us want to help the disadvantaged. That's simply a fact! But we have a distaste for those who abuse the system by not working to draw in income if able. If "willing", depends on your values in life. Here's an incident a friend of mine experienced: He became aware an individual was out of work. He secured a job for him but he failed to show, on two occasions. He called Human Services who stated this individual was making more money through welfare than he could working at this job. I don't know the outcome, but my point is to many it is more secure to draw welfare checks than to work. On the other hand many cannot work or their jobs need to be supplemented through welfare. It all goes back to horror stories of abuse and rampard in MEDICARE/MEDICAID. It seems we're more concerned about giving than policing.

This is my opinion. What's yours?

The bottom line is that the AHS has been contacted over a period of six months to provide basic information, i.e. number of people given support by category of support. We, as taxpayers, expect our government to be responsive and respectful. We expect our government to be accountable for the funds we provide, i.e. taxes.

I have the right to an answer to my question in an expeditious manner. Can you make that happen?

Edward R. Nuttall
109 Tabor Hill Road
Fairfax, VT 05454

(802) 849-2753

EMAIL: ednhelga@hughes.net

29 June 2011

London, Sarah

From: Nealy, Diane
Sent: Tuesday, January 31, 2012 10:31 AM
To: Roessle, Drusilla
Subject: RE: Edward Nuttall

Thanks Dru.

Diane T. Nealy
Secretary's Office
Agency of Human Services
208 Hurricane Lane
Williston, VT 05495
802-871-3009

Please note my new email address: diane.nealy@state.vt.us

From: Roessle, Drusilla
Sent: Monday, January 30, 2012 5:20 PM
To: Nealy, Diane
Subject: RE: Edward Nuttall

Diane,

Thank you for your very thorough email—I'll be in touch tomorrow when I have more time to spend going through it all and drafting my response. I really appreciate the time it took you to pull this together for me.

Have a great night,
Dru

From: Nealy, Diane
Sent: Monday, January 30, 2012 4:24 PM
To: Roessle, Drusilla
Subject: RE: Edward Nuttall

Dru;

I just called and asked Leigh to have you call me. You don't have to call me after all. I just wanted you to know that I have sent you some background on the email below. Please take a look and let me know if there is more information that we can provide to you.

Regards,

Diane T. Nealy
Secretary's Office
Agency of Human Services
208 Hurricane Lane
Williston, VT 05495
802-871-3009

Please note my new email address: diane.nealy@state.vt.us

From: Roessle, Drusilla

Sent: Thursday, January 26, 2012 3:35 PM

To: Nealy, Diane

Subject: Edward Nuttall

Hi Diane,

Attached is the email I referenced on the phone. I'll discuss my response with Alex, but it will be helpful to know some more details from you about the specific requests, correspondence with Senator Brock, and the final status of your correspondence with Mr. Nuttall. It sounds like quite the lengthy dialogue..

Thanks Diane, sorry to have to reopen this one,
Dru

Drusilla (Dru) Roessle
Constituent Correspondent
Office of Governor Peter Shumlin
drusilla.roessle@state.vt.us
(802) 828-3333

Richards, Alyson

From: Miller, Lawrence
Sent: Tuesday, January 31, 2012 7:09 PM
To: tomkenyon@myfairpoint.net; Bourgeois, Kiersten
Cc: rbrock@leg.state.vt.us; MoultonPowden, Pat; Richards, Alyson
Subject: FW: West Windsor Issue
Attachments: HTML.htm; gov shumlin signed copy.pdf

Tom,

By way of this email I am bringing in Kiersten Bourgeois who will be the point person from our office to help resolve the situation however we can. She is familiar with the issues. I am also copying my Deputy Pat Moulton Powden who has deep experience in the area and Aly Richards from the Governor's office.

Kiersten will be in touch tomorrow. If you need to reach me my cell is 371-9075.

Senator Brock, thank you for bringing this to our attention.

Lawrence

--

Lawrence Miller, Secretary
Agency of Commerce and Community Development
State of Vermont
National Life Building, 6th Floor
Montpelier, VT 05620-0501
(802) 828-5204
lawrence.miller@state.vt.us

The mission of the Agency of Commerce and Community Development is to help Vermonters improve their quality of life and build strong communities.

From: Randy Brock [<mailto:rbrock@leg.state.vt.us>]
Sent: Tuesday, January 31, 2012 4:33 PM
To: Miller, Lawrence
Cc: tomkenyon@myfairpoint.net
Subject: West Windsor Issue

Secretary Miller:

The message below summarizes the issue we discussed earlier today. I would appreciate it if your office could make contact with Tom Kenyon, vice chair of the West Windsor select board, and provide him with whatever assistance you can.

Regards,

Randy Brock
Vermont State Senator
Franklin District

Sent from my iPad

Begin forwarded message:

From: "Thomas Kenyon <TomKenyon@myfairpoint.net>" <TomKenyon@myfairpoint.net>
Date: January 30, 2012 4:31:02 PM EST
To: "Randy Brock" <RBrock@leg.state.vt.us>
Subject: MEET WITH YOU ON JAN. 31?

Randy,

Tom Kenyon (Selectman from West Windsor) from Brownsville. Our town needs help!!! We sent the letter below and have not had any communications from the Governor or anyone else. The Resort's ski area is closed causing us a financial crisis. West Windsor also sustained over a million dollars in Irene damage. We saw our house rep once and communicated a few times with one of our senators.

I would like to update you on our situation. Note: I coordinated Jim's Campaign for Governor in Windsor County several times.

I will be in the Card Room most of Tuesday. I am a Trustee of the Vermont Veterans Home in Bennington. Stop by and see our display. (8:30 to 2:30)

Ct

Town of West Windsor, Vermont

Town Offices

P.O. Box 6 • Brownsville, Vermont 05037 • Tel (802) 484-7212 • Fax (802) 484-3518

January 23, 2012

Governor Peter Shumlin
109 State Street
Montpelier, VT 05609

Dear Governor Shumlin,

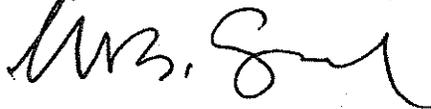
As you may be aware, there are serious issues relating to Ascutney Mountain Resort that are not only affecting the Town of West Windsor but the State of Vermont. With the ski area closed and the resort-owned sewer system and related delivery line to Windsor embroiled in issues with the 270 property owners and the Town of Windsor, this situation is seriously affecting the marketability of the resort. As a result the select board is faced with plummeting property values as well as a lackluster business climate with associated unemployment due to inactivity at the resort. Waste water pollution issues discovered during a recent state-funded engineering study in the village of Brownsville, adjacent to the Resort along Mill Brook, add to the seriousness of the situation.

The select board is being very proactive in dealing with these issues; however we need your help. We have applied for financial assistance through the Clean Water State Revolving Fund. Being categorized as a very high priority in this program is urgently needed to facilitate the purchase of the resort sewer collection and distribution system by the town. This will stabilize the issues relating to the property owners and Windsor, improving the likelihood of a resort sale, and provide a means for solving our waste water pollution issues. The select board respectfully requests that you urge those who administer this fund to view this situation not only as a local issue, but as a state issue. Funding for this project is desperately needed. Additionally, any assistance that might be available through other state/federal funding sources would be greatly appreciated.

While the Board certainly recognizes other critical funding needs elsewhere in the state, this situation has persisted for nearly two years with little or no involvement from anyone at the state level. With the resort shuttered, thus directly and indirectly providing greatly reduced revenues to the state coffers, the loss of nearly 150 full and part-time jobs and direct points of pollution into state waterways, we need to address these issues soon. West Windsor is not looking for a hand-out, just assistance in dealing with a complex situation ultimately resulting in a more prosperous, environmentally responsible Vermont.

As select board chairman, I would be happy to meet with you at your convenience to discuss the complexities of our situation. Thank you for your consideration.

Sincerely,



Glenn B. Seward, Chairman
West Windsor Selectboard

Kunin, Lisa

From: MacLean, Alex
Sent: Thursday, February 09, 2012 10:31 AM
To: Kunin, Lisa
Subject: Re: Please review

yes fine

Sent from my iPad

On Feb 9, 2012, at 10:04 AM, "Kunin, Lisa" <Lisa.Kunin@state.vt.us> wrote:

Dear Jay,

Thank you for your email proposing a debate between Governor Shumlin and Randy Brock. As a campaign event, the scheduling of a candidate debate is not handled by the Governor's office.

Once the Governor announces his intention to seek reelection, you may direct your request to his campaign organization.

Sincerely,
Lisa Kunin

Lisa Kunin
Executive Assistant to the Governor
Lisa.Kunin@state.vt.us
802-828-3333

From: Appleby, Leigh
Sent: Wednesday, February 08, 2012 2:17 PM
To: Kunin, Lisa
Subject:

From: "Jay Nichols" <jnichols@fnesu.net>
Date: 2/8/2012 10:26:41 AM
To: "Governor Peter Shumlin" <governorvt@state.vt.us>
Cc:
Subject: Re: Responding to your message

Dear Governor,

Would you rather we schedule it for the Fall, say September or early October?

J

"Governor Peter Shumlin" <governorvt@state.vt.us> writes:
>Dear Jay,
>
>Thank you for inviting me to debate in Franklin County. I welcome the

> opportunity to engage students across the state on the issues facing
> Vermont. Given that my focus is on my work as governor, however, a
> campaign debate this spring would be premature.
>
> Thank you again for the invitation and for your students' interest in the
> political process.
>
> Sincerely,
>
>
>
>
> Peter Shumlin | Governor
> 109 State Street, Pavilion
> Montpelier, Vermont 05609
> 802-828-3333

Yours in Education,

Jay Nichols
Superintendent of Schools
Franklin Northeast Supervisory Union
Serving the towns of Bakersfield, Berkshire, Enosburgh, Montgomery &
Richford
(802)848-7661
"Working Together To Make A Difference"

Leigh Appleby | Director of Constituent Services
Office of Governor Peter Shumlin
109 State Street
Montpelier, Vermont 05609
(802)828-3333
leigh.appleby@state.vt.us

London, Sarah

From: Lofy, Bill
Sent: Wednesday, February 22, 2012 11:15 AM
To: Roessle, Drusilla; MacLean, Alex
Subject: Re: Response from the Governor's Office

Thanks - looping Alex as well

On Feb 22, 2012, at 11:12 AM, "Roessle, Drusilla" <Drusilla.Roessle@state.vt.us> wrote:

Bill, keeping you looped in on this.

From: Nealy, Diane
Sent: Wednesday, February 22, 2012 10:57 AM
To: Roessle, Drusilla
Subject: RE: Response from the Governor's Office

Will do

Diane T. Nealy
Secretary's Office
Agency of Human Services
208 Hurricane Lane
Williston, VT 05495
802-871-3009

Please note my new email address: diane.nealy@state.vt.us

From: Roessle, Drusilla
Sent: Wednesday, February 22, 2012 10:56 AM
To: Nealy, Diane
Subject: RE: Response from the Governor's Office

Yes, which I noticed as well... frustrating to say the least. Can you have Doug CC me on the response if he feels it appropriate?

Thanks Diane,
Dru

From: Nealy, Diane
Sent: Wednesday, February 22, 2012 10:54 AM
To: Roessle, Drusilla
Subject: RE: Response from the Governor's Office

Doug is going to do something about it. It appears that the request has now changed. The original request was for the budget and personnel for a laundry list of services that we provide. It appears that now they want the number of people these services serve. Doug is planning on responding to this request.

Thanks,

Diane T. Nealy

Please note my new email address: diane.nealy@state.vt.us

From: Roessle, Drusilla
Sent: Wednesday, February 22, 2012 10:38 AM
To: Nealy, Diane
Subject: FW: Response from the Governor's Office

Diane, I'm going to draft a response to this and send it your way for additional details about your process. I might also ask Jim Reardon to take a look at Mr. Nuttall's email.

Did your office do anything with this when I sent it your way?

Thanks,
Dru

From: Roessle, Drusilla
Sent: Saturday, February 18, 2012 5:28 PM
To: Nealy, Diane; Racine, Doug
Subject: Fwd: Response from the Governor's Office

Begin forwarded message:

From: "Edward R. Nuttall" <ednhelga@hughes.net>
Date: February 18, 2012 3:44:25 PM EST
To: "Roessle, Drusilla" <Drusilla.Roessle@state.vt.us>
Cc: "cbranagan@comcast.net" <cbranagan@comcast.net>, "rbrock@leg.state.vt.us" <rbrock@leg.state.vt.us>
Subject: **Re: Response from the Governor's Office**

Thanks for the response. I'm not sure why your first attempt didn't come through, but this time it worked.

I'm not sure why everyone keeps on saying my question was answered, when in fact it was not. (If you can produce the response which answered my inquiry, I will eat crow.) I clearly asked for number of people, not dollars, by category. The AHS response oriented its answer towards the latter.

Senator Brock and I have had a very difficult time getting the AHS to produce numbers of people supported. I don't understand why that it so, and it seems to me one would be more interested in showing number of people served, although budgeting is a necessary item of interest.

If you follow the email correspondence Randy and I have presented, you will see the AHS has avoided answering my question all along.

This is very disturbing and smacks of mismanagement at the highest level. Something is seriously wrong here and should be looked into before findings are made, disturbing to all.

Let me make some simple points.

- The Agency of Human Services has an Internet page and a mission.
- On this Internet page are listed all of the services it provides.
- Each of these service departments or section heads provide services to a number of people throughout the State of Vermont.
- To provide these services, dollars are asked for (budgeted) and spent based upon need that is real or projected.
- If one follows proper accounting, one would ask for dollars required for the year based upon a summary of services provided to individuals the previous year with projections for the upcoming year.

And here's where the water gets murky. It appears the AHS is managing by exception, i.e. the AHS budget is not supported by need expressed by number of people supported or to be supported; rather by dollars needed without having the need to justify this dollar amount.

I'm not attempting to belittle any one organization or individual; rather I am looking for fiscal responsibility from my government. And I don't see it in this one area. I suggest it is time to audit the AHS and find out what is wrong. Then implement the necessary procedures to assure the people of Vermont fiscal responsibility is in effect.

On Feb 17, 2012, **Roessle, Drusilla** <Drusilla.Roessle@state.vt.us> wrote:

Dear Colonel Nuttall,

On February 1st, our office sent you the following email, which was returned to us. This is my second attempt at sending you this message to your noted email address:

Thank you for your correspondence. I apologize for the delay in my response to your email of December 16th. Governor Shumlin asked me to follow up with you regarding the message that you forwarded including your correspondence with Diane Nealy, Executive Staff Assistant at the Agency of Natural

Resources. It is my understanding that the Agency of Human Services compiled and sent to you the information that you had requested in July of 2011 regarding the budget of each program administered by Departments within the Agency of Human Services.

Accessibility to state and program budgets is critical to maintaining transparency and accountability. The administration of programs and services is complicated and necessitates the pulling together of myriad data, requiring the time and full attention of staff. The most accurate, timely, and comprehensive set of expenditures and financial data is compiled into the budget book annually for each legislative session. This document was initially mailed to you and Senator Brock in response to your budget inquiries.

When you expressed dissatisfaction with this response, employees at the Agency of Human Resources and point people at each Department worked to pull together an alternative document. There is no further information that is currently compiled, or that would be reasonable to begin compiling, that could more sufficiently answer your original request for the budget of programs within AHS than the budget book. The most recent AHS Budget Book (Fiscal Year 2013), is available currently. It would take approximately \$100 to pull together for your receipt. You may contact the Agency of Human Services should you be interested in receiving a copy at (802) 371-3009.

Thank you again for your correspondence.

Sincerely,

Drusilla Roessle

Constituent Correspondent

Office of Governor Peter Shumlin

Drusilla (Dru) Roessle

Constituent Correspondent

Office of Governor Peter Shumlin

drusilla.roessle@state.vt.us

(802) 828-3333

From: "Roessle, Drusilla" <Drusilla.Roessle@state.vt.us>
To: "Recchia, Chris" <Chris.Recchia@state.vt.us>
Sent: Wed, Feb 22, 2012 18:54:23 GMT+00:00
Subject: RE: s.166

Sure-- S.166 is Randy Brock's bill that provides for on the records appeals from act 250 district commissions to the environmental report; modifies various act 250 criteria for allow for consideration of development's economic, social, cultural, recreational benefits... etc. here's a link: <http://www.leg.state.vt.us/docs/2012/bills/Intro/S-166.pdf>

Thank you Chris!

-----Original Message-----

From: Recchia, Chris
Sent: Wednesday, February 22, 2012 1:39 PM
To: Roessle, Drusilla
Subject: Re: s.166

Dru - can you please remind me which one 166 is? I just have my cell phone w/me at the moment. Sorry and thanks. CR

London, Sarah

From: Miller, Lawrence
Sent: Friday, April 20, 2012 2:49 PM
To: MacLean, Alex
Subject: Fw: FW: Cloud

Lawrence Miller
Secretary of Commerce and Community Development
(802) 371-9075

Sent from my mobile, please excuse typo's and brevity.

-----Original message-----

From: Frank Cioffi <frank@vermont.org>
To: "Miller, Lawrence" <Lawrence.Miller@state.vt.us>
Sent: Fri, Apr 20, 2012 15:49:40 GMT+00:00
Subject: Re: FW: Cloud

OK, now I understand what you were referring to.
That is Dawn and Cathy's weekly Legislative update.

Frank Cioffi
President
GBIC~Greater Burlington Industrial Corporation and Cynosure, Inc.
60 Main Street Burlington, Vermont, USA 05478
Office: 802-862-5726 ext. 12
Cell: 802-238-4535
email: frank@vermont.org
Website: www.gbicvt.org
Promoting Good Jobs in a Clean Environment



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On Fri, Apr 20, 2012 at 11:43 AM, Miller, Lawrence <Lawrence.Miller@state.vt.us> wrote:

Cloud Computing Remains Foggy

The Senate Finance Committee took testimony from GBIC President, Frank Cioffi, regarding the cloud tax issue. The bill under consideration in this committee, S.173, is being championed by Senator. Illuzzi (R-Essex/Orleans) and Senator. Randy Brock (R-Franklin Co.) and includes:

- A refund for taxes paid after December 31, 2006 if a documented request is made of the commissioner of taxes

- A clarification that charges for remotely accessed software are not subject to a sales tax

Our organizations support S.173, as we believe the state should be positioning itself to be encouraging a sector of the economy that is increasing employment and providing well-paying, innovative jobs for Vermonters.

The Governor, in his weekly press conference suggested a three year moratorium on the issue may be the solution to allow the impacts of such a tax policy decision on job creation to be determined. As of this writing, no action had been taken by the Senate Finance Committee

Kunin, Lisa

From: Spaulding, Jeb
Sent: Tuesday, May 08, 2012 4:13 PM
To: Kunin, Lisa
Subject: Re: WVMT

Thanks.

Jeb Spaulding, via mobile
Secretary of Administration
State of Vermont

On May 8, 2012, at 4:06 PM, "Kunin, Lisa" <Lisa.Kunin@state.vt.us> wrote:

> I will plan to listen
>
> -----Original Message-----
> From: Spaulding, Jeb
> Sent: Tuesday, May 08, 2012 3:55 PM
> To: Kunin, Lisa
> Subject: WVMT
>
> Lisa,
>
> Randy Brock is going to be on WVMT at 7:10 tomorrow morning. If you have a chance to listen
> in and call bill or me with a report, that would be great.
>
> Jeb Spaulding, via mobile
> Secretary of Administration
> State of Vermont
>

London, Sarah

From: Jim Condos [jim.condos@sec.state.vt.us]
Sent: Friday, June 22, 2012 8:51 AM
To: Murray-Clasen, Madeline
Cc: Chris Winters; MacLean, Alex; Lynch, Nancy
Subject: RE: Constituent Call - John Wagner (Wallace Nolen)

Maddy,

Before you make any response or outreach to John Wagner, you should talk it over with Alex MacLean.

Mr. Wagner had contacted our Office of Professional Regulation (OPR) yesterday with demands that we open an investigation on Gov Shumlin and his campaign hiring Stanford Campaigns to research Randy Brock – claiming that Stanford should be licensed as a Private Investigator in VT or prosecuted.

Mr Wagner claimed to be an investigative reporter based in NY and that he would be releasing VT's denial to prosecute to the national media. Chris Winters, OPR director, handled the call and asked Mr Wagner if he would provide a written complaint with his contact info. Mr Wagner declined and became more agitated and aggressive.

Chris said it sounded just like a Mr Wallace Nolen of Barre – same tone, similar voice, and similar phrasing of language. After Chris contacted me with his report of this call, I then contacted Alex MacLean to let her know.

After receiving your email, I decided to contact Mr Wagner at the telephone number he provided you. When I identified myself to Mr Wagner, he stated that he had nothing to say to me as he had already contacted Federal Authorities and that his editors had decided to run with the story – this is an interesting combination. When I pushed him to at least tell me what the issue was – he stated he was under orders from his attorneys not speak with me. As I continued to speak with him, I noticed the similarities to Mr Nolen as well. At one point, I stopped him and asked if he went by the name of Wallace – at which he paused and then said no.

Eventually he began to be very belligerent and aggressive – at which point I said BYE and hung up.

Afterwards, I began to google Mr Nolen... and here is what I found:

<http://www.ripoffreport.com/private-investigators/national-skiptracer/national-skip-tracer-ripoff-de-c93de.htm>

<http://www.linkedin.com/in/wallacenolen>

I think there is a good chance that Mr Nolen and Mr Wagner may in fact be the same person.



Jim Condos
Secretary of State
State of Vermont

128 State Street

p: 802-828-2148

f: 802-828-2496

e: jim.condos@sec.state.vt.us

From: Nancy Lynch
Sent: Thursday, June 21, 2012 3:32 PM
To: 'Murray-Clasen, Madeline'
Cc: Jim Condos
Subject: RE: Constituent Call
Importance: High

Maddy,

Our office did receive a call from this gentleman. I am copying the Secretary here so he can respond to you himself to discuss the best way to handle this situation.

Thanks for the heads up.

Nancy T. Lynch
Assistant to Secretary Condos
Vermont Office of the Secretary of State
128 State Street
Montpelier, VT 05633-1101
p) 802.828.2148
f) 802.828.2496
nancy.lynch@sec.state.vt.us
www.sec.state.vt.us

From: Murray-Clasen, Madeline [<mailto:Madeline.Murray-Clasen@state.vt.us>]
Sent: Thursday, June 21, 2012 3:27 PM
To: Nancy Lynch
Subject: Constituent Call

Hi Nancy-

My name is Maddy and I am an intern in Governor Shumlin's Office. We received a call today from John Wagner who stated that he was having problems with the Secretary of State's Office. His complaint was regarding how the Secretary of State's Office does not require firms and businesses to be licensed. Unfortunately, he was not very clear regarding his specific concern. Has anyone from your office spoken with Mr. Wagner recently? I am trying to find the best way to respond to his call. If you or someone from your office would like to contact Mr. Wagner, his phone number is (914) 265-5328.

Thanks so much!

-Maddy Murray-Clasen

Wesley, Carolyn

From: Wesley, Carolyn
Sent: Friday, June 22, 2012 12:36 PM
To: 'Jim Condos'
Cc: MacLean, Alex
Subject: RE: Constituent Call - John Wagner (Wallace Nolen)

Thank you for looking into this Jim. Perhaps you should be licensed as a PI yourself.

Mr. "Wagner" called our office at the end of the day yesterday and I explained that as the SOS is an elected position we didn't have direct influence over the actions of your office but that we had shared his concerns with you.

Haven't heard anything else so far.

From: Murray-Clasen, Madeline
Sent: Friday, June 22, 2012 12:30 PM
To: Wesley, Carolyn
Subject: FW: Constituent Call - John Wagner (Wallace Nolen)

From: Jim Condos [<mailto:jim.condos@sec.state.vt.us>]
Sent: Friday, June 22, 2012 8:51 AM
To: Murray-Clasen, Madeline
Cc: Chris Winters; MacLean, Alex; Lynch, Nancy
Subject: RE: Constituent Call - John Wagner (Wallace Nolen)

Maddy,

Before you make any response or outreach to John Wagner, you should talk it over with Alex MacLean.

Mr. Wagner had contacted our Office of Professional Regulation (OPR) yesterday with demands that we open an investigation on Gov Shumlin and his campaign hiring Stanford Campaigns to research Randy Brock – claiming that Stanford should be licensed as a Private Investigator in VT or prosecuted.

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<http://www.linkedin.com/in/wallacenolen>

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Jim Condos
Secretary of State
State of Vermont

128 State Street
Montpelier, VT 05633-1101

p: 802-828-2148
f: 802-828-2496
e: jim.condos@sec.state.vt.us

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Importance: High

Maddy,

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Thanks for the heads up.

Nancy T. Lynch
Assistant to Secretary Condos
Vermont Office of the Secretary of State
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p) 802.828.2148
f) 802.828.2496
nancy.lynych@sec.state.vt.us
www.sec.state.vt.us

From: Murray-Clasen, Madeline [<mailto:Madeline.Murray-Clasen@state.vt.us>]
Sent: Thursday, June 21, 2012 3:27 PM
To: Nancy Lynch
Subject: Constituent Call

Hi Nancy-

My name is Maddy and I am an intern in Governor Shumlin's Office.

We received a call today from John Wagner who stated that he was having problems with the Secretary of State's Office.

His complaint was regarding how the Secretary of State's Office does not require firms and businesses to be licensed.

Unfortunately, he was not very clear regarding his specific concern. Has anyone from your office spoken with Mr.

Wagner recently? I am trying to find the best way to respond to his call.

If you or someone from your office would like to contact Mr. Wagner, his phone number is (914) 265-5328.

Thanks so much!

-Maddy Murray-Clasen

Richards, Alyson

From: Erhard Mahnke [erhardm@burlingtontelecom.net]
Sent: Tuesday, June 26, 2012 3:01 PM
To: Trombley, Shana
Cc: Richards, Alyson; Hollar, Jennifer
Subject: Request for Governor's schedule

Hi Shana,

I am hoping that Governor Shumlin will once again be able to meet with members of the Vermont Affordable Housing Coalition and the Vermont Coalition to End Homelessness at our annual joint meeting in September. As in year's past, we expect in the neighborhood of 50 - 70 folks from the housing and homelessness community statewide to attend. I believe Deputy Commissioner Hollar has flagged this event for you on the Governor's calendar.

This year's date has tentatively been set for September 19, but we do have some flexibility on that, depending on the Governor's availability. We do not have a location reserved yet, but typically we meet at a church hall in Randolph.

This being an election year, we will also be inviting Senator Brock to meet with us at a separate time on the same date, so I will need to coordinate with his schedule as well. Our format the last time was for each candidate to get approximately 45 minutes on the agenda, which seemed enough to present remarks and answer questions from the audience. We would submit some sample questions in advance that we ask each candidate to address, either in his prepared remarks or during Q & A. This format seemed to work well for Governor Shumlin two years ago. I'm hoping it meets with his approval again, as well as Senator Brock's.

As you know, our non-profit status prevents us from making endorsements, but last time public access TV filmed each candidate interview and we prepared transcripts. These were made available to our members via our website and those of the various public access stations around the state. The two organizations have combined email lists of over 400 housing, homelessness and anti-poverty professionals around the state. We expect to do the same again this year.

Please let me know as soon as possible whether the Governor will be able to attend so I can contact Senator Brock.

Many thanks,
~erhard

Erhard Mahnke

VT Affordable Housing Coalition
802-660-9484 (wk) | 802-233-2902 (cell)
802-859-9540 (fax)
erhardm@burlingtontelecom.net
www.vtaffordablehousing.org

Are you a member of the Vermont Affordable Housing Coalition? If not, there's no time like now to sign up. Just contact Treasurer Janet Green (jgreen@burlingtonhousing.org).

London, Sarah

From: Spaulding, Jeb
Sent: Wednesday, July 25, 2012 4:27 PM
To: GPS; London, Sarah; Lofy, Bill; MacLean, Alex
Subject: Confidential

I have reason to believe Senator Brock is interested in the FEMA issue.

Jeb Spaulding, Secretary of Administration
State of Vermont
Pavilion Office Building
109 State Street
Montpelier, VT 05609

People are our greatest asset

London, Sarah

From: London, Sarah
Sent: Friday, July 27, 2012 4:58 PM
To: Duchac, Bill
Subject: RE: brunette

They are likely just going to have to be printed (by me) when I get them. So disk, print outs, whatever by Monday, thanks Bill. I got us a SLIGHT extension – we now have 3 PRA requests for this info, including from Randy Brock.

From: Duchac, Bill
Sent: Friday, July 27, 2012 4:51 PM
To: London, Sarah
Subject: brunette

I have been trying for some time without success to get this over to you. I have already discussed this with Lively and his experience getting these things done. We are set to take a stab first thing Monday morning. I apologize for my inability to get this seemingly simple task done.

Bill Duchac
Manager Office of Risk Management BGS Financial Operations
10 Baldwin Street Montpelier, VT 05633-2005
802 828-4671 Direct 802 828-1269 Facsimile 802 793-5626 Mobile bill.duchac@state.vt.us

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