

**Adult Protective Services Program  
Quarterly Report  
April 1- June 30, 2013**

**Submitted to:** Chair, Health Care Oversight Committee

**Submitted by:** Susan Wehry, Commissioner, AHS/DAIL

**Prepared by:** Veda Lyon, Program Chief, Adult Protective Services

**Report Date** July 19, 2013

Pursuant to H. 105 reporting requirements, DAIL is pleased to provide the first Adult Protective Services (APS) Quarterly Report. This covers the 4thQ of FY13 (April 1 – June 30, 2013). During this period APS received a total of 980 reports.

A. Of the 980 total reports received:

- a. 295 were complaints about a licensed facility. These were referred to DLP's Survey and Certification unit for review, screening and follow up.
- b. Of the remaining 685 reports, 362 (53%) were assigned and opened for investigation.

B. Of all reports not opened for APS investigation

- a. 295 reports were referred to Survey and Certification for follow-up
  - i. 170 reports were of resident to resident or patient to patient abuse
  - ii. 125 reports were facility complaints within the jurisdiction of Survey/Certification.
- b. 308 reports were not opened for the following reasons
  - i. 37 reports were of self-neglect.
  - ii. 134 reports lacked an allegation meeting statutory definition(s) of abuse, neglect or exploitation.
  - iii. 6 reports were related to alleged victims who were deceased.
  - iv. 117 reports were closed for other reasons: victim's location unknown (2); report already investigated (35); no allegation of maltreatment (46); incident in past, no current need (4); no statutory authority to investigate (12); incomplete report (16); other (2).
  - v. 14 reports were closed because the alleged victim didn't meet the statutory definition for vulnerable adult.

- C. Of the 14 reports closed because the alleged victim didn't meet a statutory definition for vulnerable adult, the relationship of the reporter to alleged victim included:
  - a. 3 Developmental Disabilities program staff
  - b. 1 Friend of the alleged victim
  - c. 1 Health or medical professional staff
  - d. 1 Staff of a Home Health or VNA agency
  - e. 2 Relatives
  - f. 1 Residential care home staff
  - g. 2 Social Workers
  - h. 3 Other
- D. For those reports not opened for investigation, APS provided 449 referrals to the reporter and/or victim for other services or agencies, as follows:
  - a. 48 to Area Agencies on Aging
  - b. 4 to the Division of Disability and Aging Services
  - c. 347 to the Survey/Certification Unit of DLP
  - d. 32 to a law enforcement
  - e. 6 to VT Legal Aid
  - f. 2 to the Attorney General's Medicaid Fraud Unit
  - g. 3 to Disability Rights VT
  - h. 7 to the Office of Professional Regulation
- E. During the reporting period, 234 investigations were completed and of these:
  - a. 32 (14%) recommended Substantiated.
  - b. 202 (86%) recommended Not Substantiated.
  - c. 346 cases remained open and under investigation.

**Disability and Aging Services  
Licensing and Protection**

**Blind and Visually Impaired  
Vocational Rehabilitation**